

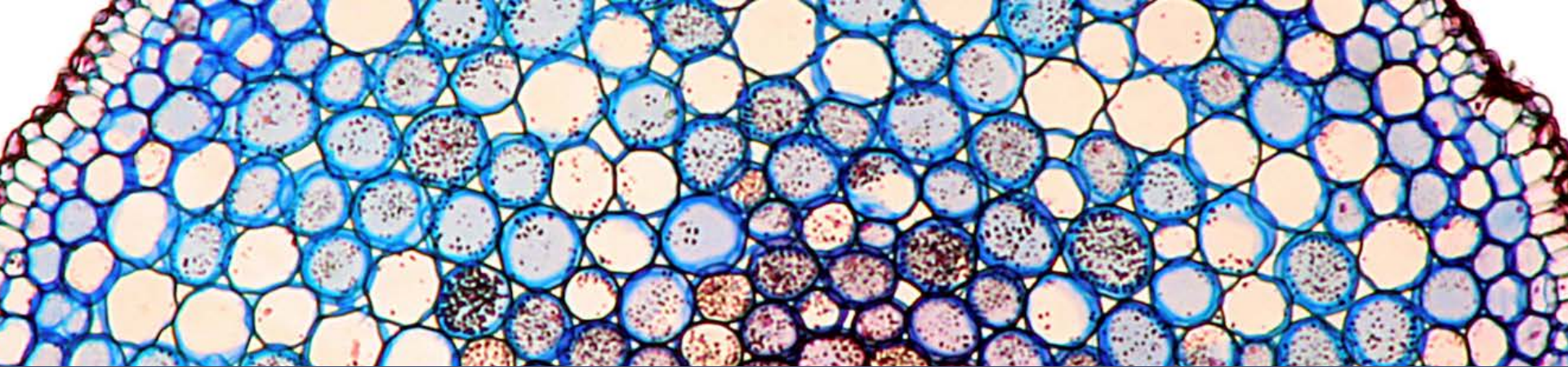


# Open Access and Creative Commons Licenses

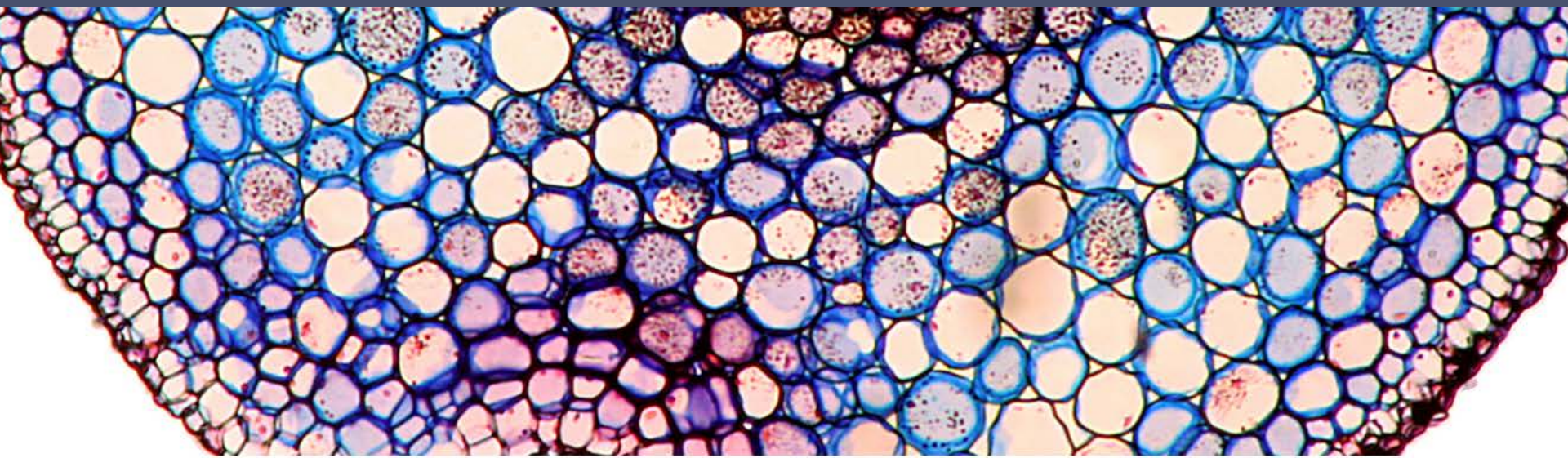
Martyn Rittman

19 May 2014





**Our mission is to increase open scientific exchange through the means of scholarly open access journals and conferences.**



# ❖ Multidisciplinary Digital Publishing Institute (MDPI)

- Created in 1996 with journal *Molecules*
- 123 journals
- Fully Open Access
- Based in Basel, branch offices in China



\* as of 12 May 2014

# ❖ Our Open Access Policy

## Up to 2008

- Free online access since 1996
- Relying on donations until mid 2006
- Content copyrighted by MDPI until early 2008

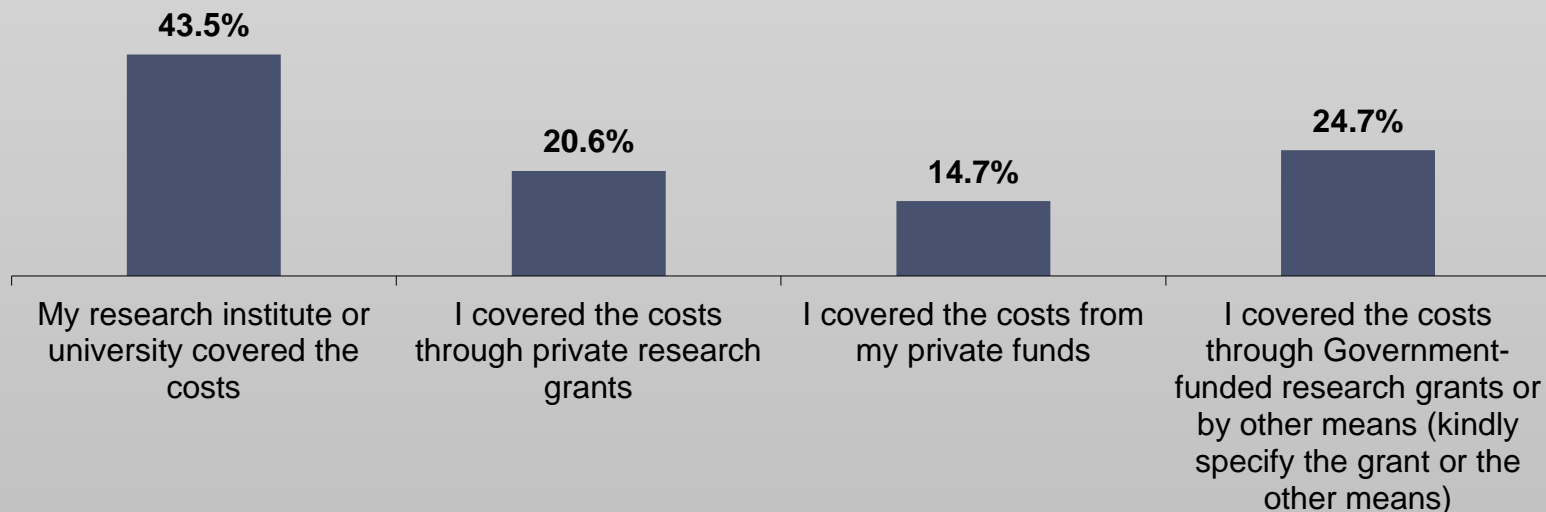
## Since 2008

- Creative Commons By Attribution v3.0 **(CC-BY)**
- Back issues also put under CC-BY in 2008
- Publishing fees since 2006
- *Pure Open Access*: every single publication is openly available



## ❖ Publishing Fees

- Range from 0 CHF to 1,800 CHF
- Average cost to authors per paper in 2013: **920 CHF**
- About **25%** of papers published **free or charge**
- MDPI funding survey in Q4 2013. How were the APCs for your recently published paper with MDPI funded?



# ❖ Institutional Membership Program

- Established in Q4 2013: **APC discounts** to authors from member institutes
- Authors select their institute during submission process:

Please determine if you are eligible for a reduction in the article processing charges through an institutional membership. **See if your institute has established a membership with MDPI and confirm:**

Select Institute

- Select Institute
- University of Basel \*
- University Hospital of Basel \*
- NC State University
- Technical University of Munich (TU München)
- University of Bern
- Vienna University of Technology (TU Wien)
- Wageningen University and Research Center
- University of Tübingen
- University of Cagliari
- Spanish National Research Council (CSIC)
- University and University Hospital of Zürich
- University of Amsterdam

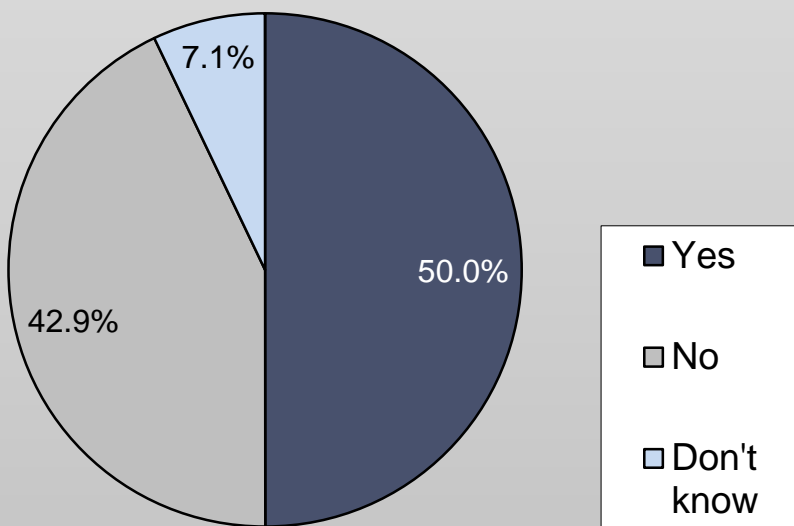
end the membership scheme with MDPI to your library [here](#).

- Institute library automatically notified of new submissions
- **27 institutes** have joined to date (Unis Basel, Bern, Zürich from CH)
- For more, see [mdpi.com/about/memberships](https://mdpi.com/about/memberships)

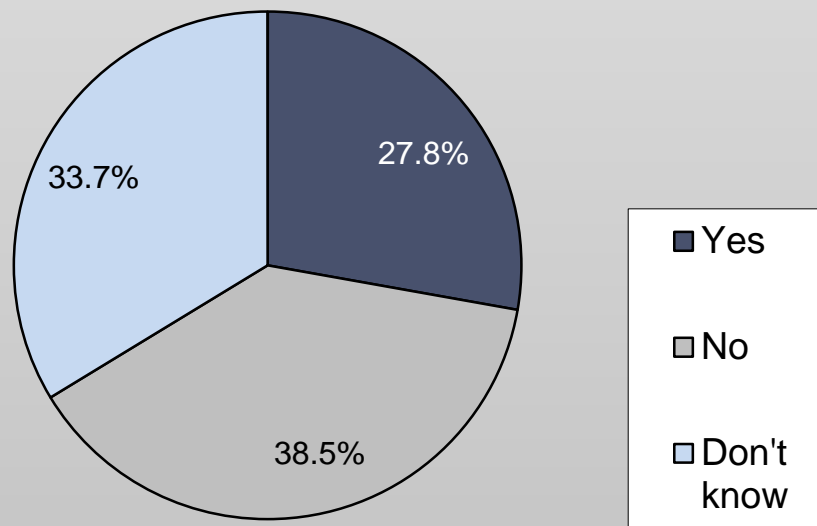
# ❖ Funding Survey

*170 authors surveyed during Q4 of 2013*


**Does your institute financially support you with the cost of publishing open access?**



**Does your institute or library show a preference to publish in open access?**



# ❖ Funding – Compliancy with Self-archiving Policies



## Search - Publisher copyright policies & self-archiving

One publisher found when searched for: Publisher: **mdpi**

|                   |   |
|-------------------|---|
| <b>Publisher:</b> | <a href="#">MDPI</a> , Switzerland              |
| <b>Journals:</b>  | <a href="#">127 journals</a>                    |
| <b>RoMEO:</b>     | This is a <a href="#">RoMEO green</a> publisher |
| <b>Copyright:</b> | <a href="#">Policy</a>                          |
| <b>Updated:</b>   | 02-Jul-2010                                     |

Although this publisher has default policies, individual journals may have special permissions, especially if they involve other organisations or have

[Default Policy](#)

### Search again?

Journal titles or ISSNs    **Publisher names**

Exact phrase only    All keywords    Any

[Advanced Search](#)

| RoMEO Colour                                     | Archiving policy   |
|--|--|
| <a href="#">Green</a>                            | Can archive pre-print <i>and</i> post-print or publisher's version/PDF             |
| <a href="#">Blue</a>                             | Can archive post-print (ie final draft post-refereeing) or publisher's version/PDF |
| <a href="#">Yellow</a>                           | Can archive pre-print (ie pre-refereeing)  |
| <a href="#">White</a>                            | Archiving not formally supported   |
| <a href="#">More on colours and restrictions</a> |  |
| or   | <a href="#">View all publishers</a>  |

*Use this site to find a summary of permissions that are normally given as part of each publisher's copyright transfer agreement.*

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# ❖ Funding – SNF Requirements



## Schweizerischer Nationalfonds zur Förderung der Wissenschaftlichen Forschung

1 funder found.

[Schweizerischer Nationalfonds zur Förderung der Wissenschaftlichen Forschung \(SNF\) / Swiss National Science Foundation \(SNSF\) / Fonds national suisse \(FNS\)](#)

**Country:** Switzerland

[Open Access Archiving](#) | [Open Access Publishing](#) | [Data Archiving Policy](#) | [Key to OA Ticks](#)

### Publications Policy

#### Open Access Archiving



**Whether to Archive:** \* Requires deposition in Open Access archives



**What to Archive:** \* Peer-reviewed publications, Conference papers, Project reports  
\* Publisher's version *and/or* Author's final version  
\* PDF file (Preferred)



**When to Archive:** \* At the earliest possible opportunity

**Where to Archive:** \* On the authors' own websites (Optional)  
\* In appropriate institutional repositories (Required)  
\* In appropriate disciplinary repositories (Preferred)

**Archiving Conditions:** \* Publisher's copyright, licensing & embargo policies must be respected

#### Open Access Publishing



**Whether to Publish:** \* Encourages publication in Open Access publications

**Where to Publish:** \* in a peer-reviewed open access journal (Optional)

**Publishing Conditions:** \* [No information]

#### General

**General Conditions:** \* Effective for all current projects from 01-Sep-2007

**Policy Links:** \* [Politik auf Deutsch](#) [Policy in German]  
\* [Politique en français](#) [Policy in French]

[www.sherpa.ac.uk](http://www.sherpa.ac.uk)

## ❖ Open Access Journals and Long-Term Archiving

- Open access journals **typically online-only**
- **Online information lives as long as the server is connected**
- Real fear that published papers will disappear the same time the server of a bankrupted publisher is disconnected
- Open Access journals have an advantage over online-only subscription journals – **everyone can download a full copy**
- Archiving a full copy with independent service providers, for MDPI journals:



Schweizerische Eidgenossenschaft  
Confédération suisse  
Confederazione Svizzera  
Confederaziun svizra  
**e-helvetica**

# ❖ Creative Commons Licenses

## Our mission

Creative Commons develops, supports, and stewards legal and technical infrastructure that maximizes digital creativity, sharing, and innovation.

## Our vision

Our vision is nothing less than realizing the full potential of the Internet — universal access to research and education, full participation in culture — to drive a new era of development, growth, and productivity.

[creativecommons.org](https://creativecommons.org)

# ❖ Creative Commons Licenses

- Non-profit organisation
- Provides copyright licenses to allow sharing and retain attribution

| License      | Concept   | Example                         |
|--------------|---|---------------------------------|
| <b>CC0</b>   | Public domain   | PLOS (author from US)           |
| <b>CC-BY</b> | Copyright stays with the author<br>Reuse must credit the author | MDPI, PLOS (author not from US) |
| <b>ND</b>    | No derivatives  | GNU operating system            |
| <b>SA</b>    | Derivatives under the same terms                                | Wikipedia                       |
| <b>NC</b>    | <u>Only</u> non-commercial use allowed                          | TED-talk videos                 |

# ❖ Why Creative Commons Licenses?

## Advantages

- Globally valid
- In line with academic author wishes
- No limit to access
- Allows others to build on your work
- Conceptually simple
- Allows large-scale data mining (machine readable license)

## Disadvantages

- Limited control over how your work is re-used
- No limit to access (including your rivals!)
- What does non-commercial mean?
- Authors can be on their own to defend copyright breaches

## ❖ ETHZ – Document Delivery Service

- ETHZ printed and distributed copies of journals articles, sometimes charging a fee
- Under CC-BY license this would be permissible, provided the original source is clear
- Not allowed if journal articles are copied and reformatted to appear as if from another publisher
- Not permitted under a CC-BY-NC license

Thank you.