

The logo for OAPEN-CH features the word "oapen" in a blue, lowercase, sans-serif font, with the letter "a" highlighted in orange. The word "ch" is in a smaller, blue, lowercase, sans-serif font. The background is light blue with large, faint, curved shapes.

Open Access Strategy and the OAPEN-CH Project

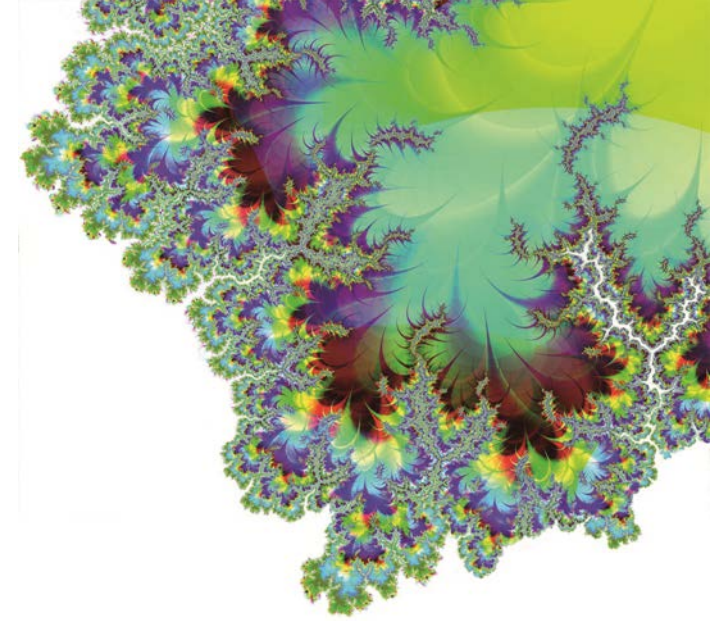
Regula Graf, scientific officer at the SNSF



SWISS NATIONAL SCIENCE FOUNDATION

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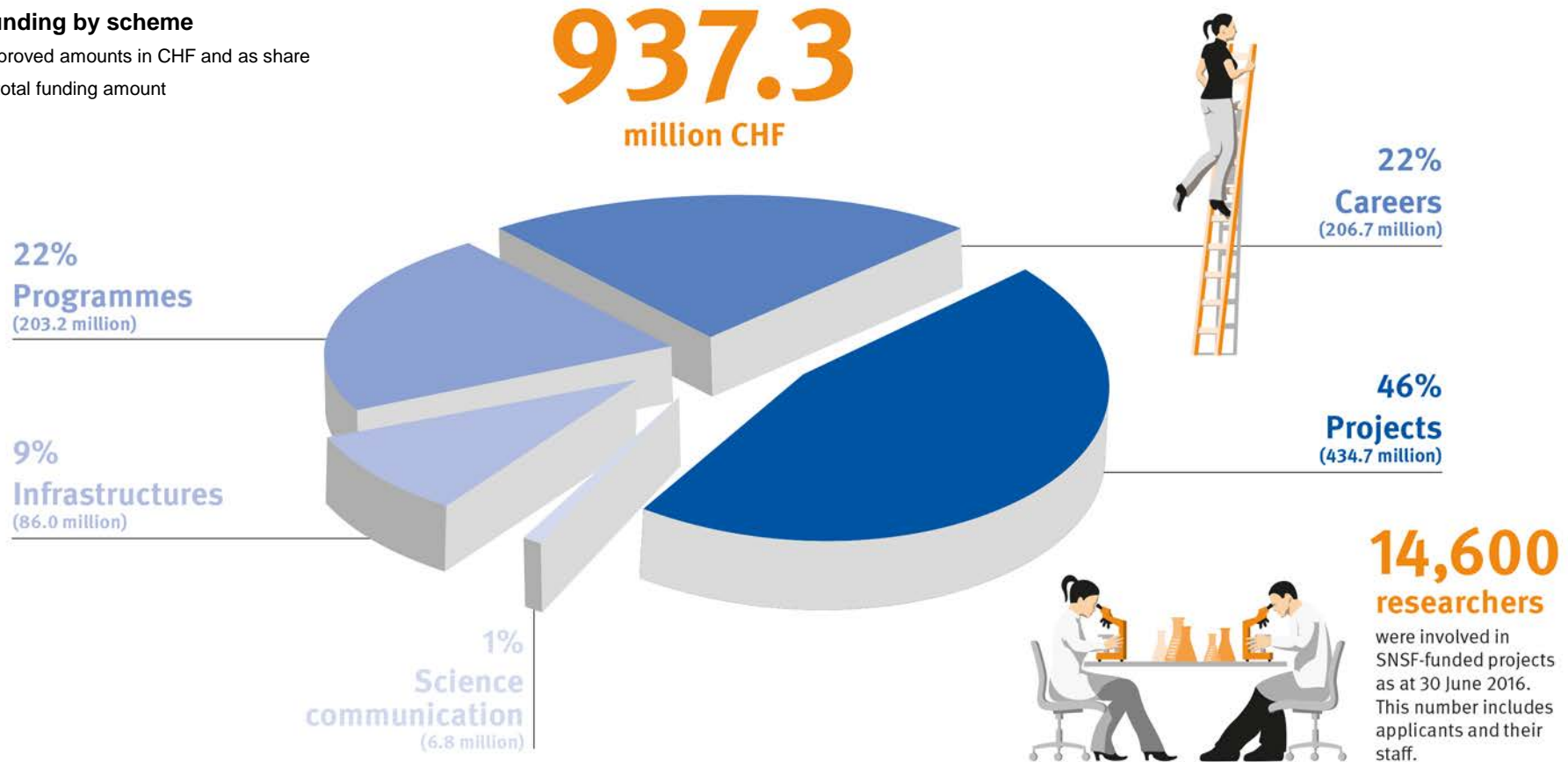
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The SNSF in numbers 2016

Funding by scheme

Approved amounts in CHF and as share of total funding amount



Publication funding

	Publication funding in SNSF grants	Publication grants
Publication of books	<p><u>Since 1 July 2014:</u></p> <ul style="list-style-type: none">• Contributions towards the production costs of digital book publications• Open Access after 24 months at the latest	
Journal article	<p><u>Since October 2013:</u></p> <ul style="list-style-type: none">• Funding of publications in pure OA journals (Gold Road)• Grant of max. CHF 3,000 per publication	



Open Access - developments in Switzerland

Turning point

The State Secretariat for Education, Research and Innovation (SERI) gave a mandate to swissuniversities and the SNSF to elaborate:

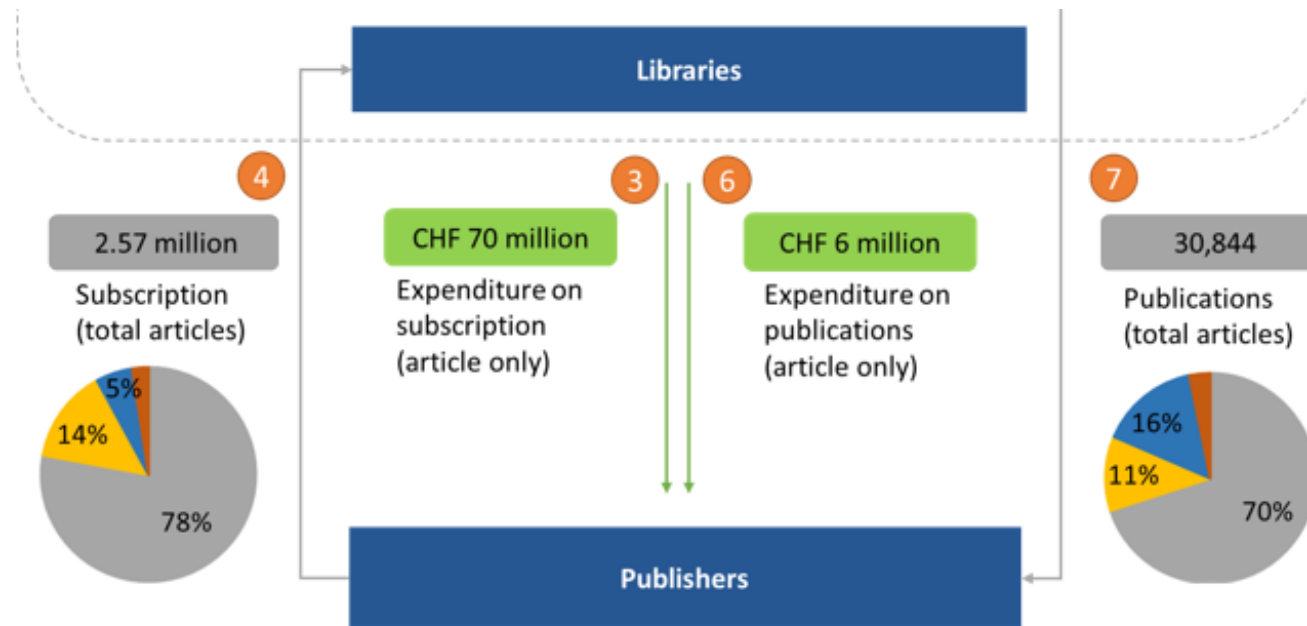
Financial flow analysis

- Study Swiss scientific publishing
- Create **transparency**
- Analyse which **OA economic model** would best support a full OA transition in Switzerland

National Open Access Strategy

- Promote OA as overarching **goal**
- Foster stakeholder **coordination**
- Create a **vision**

Financial flow analysis



⚡ In 2015, Swiss HEIs spent CHF 76 million on publications:

- **CHF 70 million** in **subscription** fees
- **CHF 6 million** in **publication** costs
- + **2 million** were spent on **infrastructure** supporting OA

National Strategy: vision

EU objectives as a benchmark

- 100% of academic publications OA by 2020

Objectives for Switzerland

- 100% of academic publication activity in Switzerland OA by 2024
- 100% academic publications funded by public money must be freely accessible on the internet.
- OA publishing with a mix of models





Open Access policy of the SNSF

Open Access policy of the SNSF: development

The OA policy of the SNSF since 2008/2014

- 2006: Signing of the Berlin Declaration
- 2008: Obligation to self-archive (Green Road, after 6 months)
- 2013: Financial support for OA journal articles ("gold OA")
- 2014: Expanding of Open Access policy to cover book publications
- 2015: Launch of OAPEN-CH pilot project
- 2016: Financial flow analysis
- 2016/2017: Contributing to national strategy and action plan

Open Access policy of the SNSF: principles

"Research findings funded by public money should be freely accessible to all."

Publication resulting from a project funded by the SNSF:
additional funds for publications

no



**General duty
to publish in OA**

yes



Independent
publication grants



**Specific duty
to publish in OA**

Open Access policy of the SNSF: specific duty

The conditions for financial support are:

- **Journal article:** publication must be in gold road journal; contribution of up to CHF 3,000 per publication
- **Book publication:** a digital copy must be freely accessible after 24 months
 - Researchers and publishers **are free to publish books also in print.**
 - **Upon request**, the open access commitment can be **removed** (e.g. image rights)



oapen.ch

OAPEN-CH pilot project

Study of the impact of Open Access on book publications



Aims

- **Joint learning process** with all parties involved in the Open Access publication process (SNSF, authors, publishers, libraries, repositories)
- **Comparing two publication models** for Open Access book publications
- **Generating data on the use (lending figures, downloads), sale and cost** of digital and printed book publications

Publication models

- Model 1: a monograph is released for Open Access **without any embargo** period and published at the same time in printed form.
- Model 2: a monograph that was **published two years ago is made Open Access**; the printed version continues to be sold.

Publication model	Experimental group		Control group
	<i>Open Access</i>	<i>Print</i>	<i>Print</i>
Model 1	immediately	✓	✓
Model 2	after 24 months	✓	✓

Publication of pilot books

- The pilot books of the experimental group are published under a standard **Creative Commons license** and are available on the following platforms:
 - Website of publisher
 - OAPEN Library
 - Google Books
 - Institutional repository
 - Swiss National Library



Evaluation and output

Quantitative evaluation

- Evaluation of the collected data on use, sale and cost of digital and printed books

Qualitative evaluation

- Workshop with stakeholder group and involved publishers to share their experiences
- Needs analysis of publishers and authors

Output

- Final report

Participating publishers

German-speaking Switzerland	<ul style="list-style-type: none">• Chronos Verlag, Zurich• Librum Publishers & Editors, Hochwald• Peter Lang Verlag, Bern• Schwabe Verlag, Basel• Seismo Verlag, Zurich
French-speaking Switzerland	<ul style="list-style-type: none">• Editions Alphil, Neuchâtel• Editions ies, Geneva• Librairie Droz, Geneva
Italian-speaking Switzerland	<ul style="list-style-type: none">• Edizioni Casagrande, Bellinzona
Germany	<ul style="list-style-type: none">• Carl Grossmann Verlag, Berlin• Transcript Verlag, Bielefeld• Wallstein Verlag, Göttingen

Pilot publications 2015 and 2016

	First call 2015		Second call 2016	
	Number of books	of which OA	Number of books	of which OA
Model 1	32	16	38	19
Model 2	22	11	14	7
Total	54	27	52	26

Results of the 2015 call

What is the impact of Open Access?

Total number of downloads from OAPEN Library (Sept 15–Feb 17)

	Experimental Group
Model 1 (16 books)	6416
Model 2 (11 books)	6426

Total number of copies sold (print and eBooks) (Sept 15–Aug 16)

	Experimental Group	Control Group
Model 1 (32 books)	1377	1660
Model 2 (22 books)	2609	2671

Source: intermediate report on first call of OAPEN-CH pilot project by OAPEN Foundation, NL; November 2016 (download figures updated in March 2017).

Libraries for Open Access

- University libraries play an important role in implementing the National OA Strategy
- **Purchasing/Financing:** to promote Open Access, the purchasing budget has to be re-deployed; various processes and responsibilities of libraries have to be adapted.
- **Library catalogue:** Open Access books need to be visible in library catalogues; a quality-tested platform for OA publications is therefore desirable.
- **Repositories:** researchers can store their research results in different repositories, according to their institutional affiliation.

Outlook



Thank you for your attention!

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